



Corporate Offices:
3355 Cochran Street, Suite 100
Simi Valley, CA 93063
800-213-1164

401(k) Proposal Request

The information on this form is required before we can assemble a proposal for your plan.
Turnaround time for a proposal is 2-3 business days.

Plan Name: _____

Phone Number: _____ E-mail: _____

Plan Trustee/Contact: _____

Address: _____

City, State, Zip: _____

If the company has locations in other states please list the states:

Plan Assets: \$ _____

Total Number of Employees: _____

Total Number of Participants: _____

Current 401(k) Vendor: _____

Current TPA: _____

Does the trustee want to keep the current TPA? Yes No No Preference

Is the plan a Safe Harbor 401(k) plan? Yes No

Why is the plan looking to make a change? _____

Does the trustee want a plan comparison? Yes No

If yes, please provide the following along with this completed form:

- Group Annuity or Group Trust Document
- Summary Plan Description
- Plan Document
- Investment Policy Statement
- List of Current Investment Options
- Employee Census
- List of current plan balances in each investment
- TPA Fee Schedule and any other costs associated with the plan